

SECRET

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

Applicants: Mark R. Prausnitz, Jin Liu, and Thomas N. Lewis

Serial No.: 09/229,226

Group Art Unit: 3737

Filed: January 12, 1999

Examiner: Not Yet Assigned



For: *ASSESSMENT AND CONTROL OF ACOUSTIC TISSUE EFFECTS*

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
AND REQUEST FOR REFUND**

Sir:

Responsive to the Notice to File Missing Parts of Application, mailed February 4, 1999, in the above-identified application, enclosed for filing are the following:

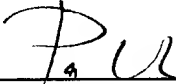
1. Declaration of Inventorship executed by Mark R. Prausnitz, Jin Liu, and Thomas N. Lewis;
2. Form PTO-1533;
3. Verified Statement (Declaration) Claiming Small Entity Status (37 C.F.R. §§ 1.9(f) and 1.27(d) – Nonprofit Organization) by Georgia Tech Research Corporation;
4. Certificate Under 37 C.F.R. § 3.73(b) by Georgia Tech Research Corporation; and
5. Check in the amount of \$65.00 for small entity surcharge for late filing of the Declaration of Inventorship.

U.S.S.N.: 09/229,226  
Filed: January 12, 1999  
RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
AND REQUEST FOR REFUND

Applicants submitted the executed Assignment from Mark R. Prausnitz, Jin Liu, and Thomas N. Lewis to Georgia Tech Research Corporation, Recordation Form Cover Sheet, Form PTO-1619A, and a check in the amount of \$40.00 for the recordation fee to the U.S. Patent and Trademark Office on March 12, 1999, under separate cover.

Applicants respectfully request a refund of 50% of the \$1,390.00 filing fee in the application, a total of \$695.00, pursuant to 37 C.F.R. § 1.28. Please credit or charge any other fees due in connection with this matter to our deposit account No. 01-2507. A duplicate of this transmittal is enclosed to facilitate this process.

Respectfully submitted,

  
\_\_\_\_\_  
Patrea L. Pabst  
Reg. No. 31,284

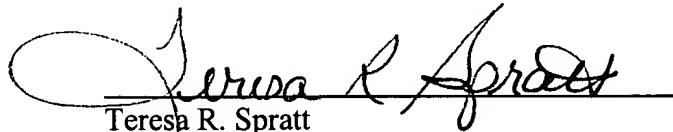
Date: March 12, 1999

ARNALL GOLDEN & GREGORY, LLP  
2800 One Atlantic Center  
1201 W. Peachtree Street  
Atlanta, Georgia 30309-3450  
404-873-8794  
404-873-8795 (fax)

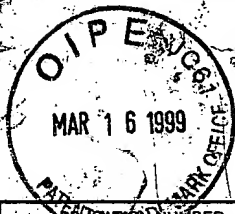
U.S.S.N.: 09/229,226  
Filed: January 12, 1999  
RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
AND REQUEST FOR REFUND

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this Response to Notice to File Missing Parts of Application and Request for Refund, together with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Teresa R. Spratt

Date: March 12, 1999



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

GTRC-1957

APPLICATION NUMBER 0972291226	FILING/RECEIPT DATE 01/12/99	FIRST NAMED APPLICANT LII	ATTORNEY DOCKET NO./TITLE
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PATREA L. PABST  
ARNALL GOLDEN & GREGORY  
2800 ONE ATLANTIC CENTER  
1201 WEST PEACHTREE STREET  
ATLANTA, GA 30309-3450

0232/0204

NOT ASSIGNED

3737

DATE MAILED:

02/04/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 150.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unexecuted.
- ☒ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☒ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts"

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

Initial Patent Examination Division (703) 308-1202

03/22/1999 MTENLE1 00000087 0029226

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